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A13

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/017,728	12/14/2001	Joshua S. Auerbach	GB920010099US1

CONFIRMATION NO. 5443
FORMALITIES LETTER

IBM Corp, IP Law Dept T81/503
3039 Cornwallis Road
PO Box 12195
Research Triangle Park, NC 27709-2195



OC00000007342920

Date Mailed: 01/23/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Ayinde Gaitom

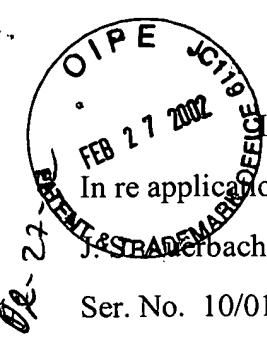
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/19/2002 BABRHA1 00000086 090461 10017728

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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Sector #
#3

In re application of : February 14, 2002
J. STRADERBACH, et al : IBM Corporation
Ser. No. 10/017,728 : Dept.T81/Bldg. 062
Filed: 12/14/01 : P.O. Box 12195
For: Message Filtering : Park, NC 27709

OFFICE OF PUBLIC RECORDS
2002 FEB 27 AM 9:54
FINANCIAL SECTION

Notice to File Missing Parts of Application

Filing Date Granted

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts dated January 23, 2002, please find enclosed a Declaration and Power of Attorney with the original signatures for all the inventors for the above identified application. The Examiner is authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0461. A duplicate copy of this sheet is enclosed.

The surcharge of \$130.00 set forth in 37 CFR 1.16(e)



Any additional filing fees required under 37 C.F.R. §1.16.



Any patent application processing fees under 37 C.F.R. §1.17.

Respectfully submitted,

A. Bruce Clay
Attorney for Applicants
Reg. No. 32,121

ABC:cmr
Enclosure
Docket No: GB920010099US1
Phone: 919-254-6717
Fax: 919-254-4330



#3

Docket No. GB920010099US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: J. S. Auerbach, et al

Serial No.: 10/017,728

Filed: 12/14/2001

For: Message Filtering

US Patent and Trademark Office
Box Missing Parts
Washington, DC 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the following attached correspondence comprising:

1. Notice to File Missing Parts of Application
2. Copy of Notice to File Missing Parts Received from Patent Office
3. Declaration and Power of Attorney signed by inventors.
4. Business Reply Post Card.

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

US Patent and Trademark Office

Washington, DC 20231

on February 14, 2002

Catherine M. Robbins

(Name of person mailing paper or fee)

Catherine M. Robbins

(Signature of person mailing paper or fee)